

## Common Risk Assessment Tool - Survey to Other State Correctional Facilities

Included in the Department of Corrections' (SCDC) February 19, 2020 letter to the House Legislative Oversight Committee (LOC). This information was provided in response to the following question in LOC's January 30, 2020, letter to the Department of Corrections: "37. What other states utilize a common risk assessment tool?"

In addition to providing the information in this document, SCDC provided the following response:

- Please see attached Risk-Needs Assessment and Caseload Management Software Results.

|   |                           |  |
|---|---------------------------|--|
| Please enter the contact information for the person completing the survey.  | State/Agency:             | Texas Department of Criminal Justice   |
|   | Name:                     | Justin Brock   |
|   | Title:                    | Manager IV - Executive Services  |
|   | Phone:                    | 936-437-6452   |
|   | Email:                    | justin.brock@tdcj.texas.gov  |
| Does your state use a risk/needs assessment at any step of your offenders' entrance into, stay within, or exit from incarceration or community supervision?   | Response                  | Yes  |
|   | Other (please specify)    |  |
| Do you use an assessment that was created by some other organization, and that has NOT been tailored to your state's needs in any way? These are often referred to as "off-the-shelf" assessments, such as the COMPAS, LSI or ORAS. | Response                  | No   |
|   | Other (please specify)    |  |
| If you answered "yes" to question 3, which "off-the-shelf" assessment do you use?   | Open-Ended Response       |  |
| Do you use an assessment that has been tailored to your state in some way?  | Response                  | Yes  |
|   | Other (please specify)    |  |
| If the state-tailored assessment was substantially based on an existing assessment, such as COMPAS, LSI, or ORAS, from which assessment was it based?   | Open-Ended Response       | Ohio Risk Assessment System (ORAS)   |
| If the state-tailored assessment was created with substantial assistance from an independent researcher or research team, with whom did you work? A general response is acceptable (such as "local university").                    | Open-Ended Response       | University of Ohio - Cincinnati  |
| If the state-tailored assessment was created nearly completely in-house, which internal groups or units were responsible for its creation?  | Open-Ended Response       | Texas Department of Criminal Justice-Community Justice Assistance Division and Harris County Community Supervision and Corrections Department.   |
| If your assessment's development was not captured by any of the prior questions, please give a brief sense of how it was developed.   | Open-Ended Response       |  |
| Do you use different assessments for different purposes?  | Response                  | Yes  |
|   | Other (please specify)    |  |
| What assessment do you use for adult prisoner reentry?  | Open-Ended Response       | Texas Risk Assessment System (TRAS) - Reentry Tool or Supplement Reentry Tool.   |
| What assessment do you use for youth prisoner reentry?  | Open-Ended Response       | The Texas Department of Criminal Justice oversees adult incarceration and community supervision.   |
| What assessment do you use for adult community corrections?   | Open-Ended Response       | Community Supervision Tool, Texas Risk Assessment System, and assessments completed by the Parole Division, Rehabilitative Services Division and Reentry and Integration Division.   |
| What assessments do you use for youth community corrections?  | Open-Ended Response       | The Texas Department of Criminal Justice oversees adult incarceration and community supervision.   |
| What other assessments do you use, if any? Please give the name/type of the assessment, and for which purpose it is used.   | Open-Ended Response       | STATIC 99R – additional risk to recidivate for Sex Offender population; used in conjunction with TRAS- Texas Risk Assessment System while on parole supervision. While the TDCJ does not directly supervise probationers, we provide oversight for a decentralized system of 122 local community supervision and corrections departments (CSCDs) that may use additional assessments for other purposes. The TDCJ does require the use of state-adapted criminogenic risk assessment, TRAS, to be used for assessing offender risk/needs. CSCDs use specialized assessments depending on the need, such as mental health, substance abuse, domestic violence, sex offenses, etc. Use of these instruments will vary based on the probation department and judicial district. |
| Do you use an electronic case management system?  | Response                  | Other (please specify)   |
|   | Other (please specify)    | The TDCJ developed an information system dedicated to TRAS; however, individual CSCDs also use their own case management systems that predate the TDCJ system. TRAS information is merged between the two systems. Texas probation does not have a state electronic case management system.  |
| Was your case management software package created by some other organization, such as is provided along with the COMPAS risk/needs assessment?  | Response                  | Other (please specify)   |
|   | Other (please specify)    | N/A  |
| If you answered "yes" to question 17, which case management software package do you use?  | Open-Ended Response       |  |
| Check every feature that is built into your case management system:   | Case planning             |  |
|   | Contact notes             | Contact notes  |
|   | Drug testing              | Drug testing   |
|   | Education modules         |  |
|   | Presentence investigation |  |
|   | Rules of supervision      | Rules of supervision   |
|   | Substance use modules     |  |
|   | Violation reporting       | Violation reporting  |
|   | Workload management       | Workload management  |
|   | Other (please specify)    | Texas probation does not have a state case management software package.  |
| If you checked "Other" in question 19, please explain.  | Open-Ended Response       | Commitment and Pre-Release information, supervision history information, program referral, compliance monitoring, fee collection, offender demographics and special condition compliance and requirements.   |
| Is your electronic case management system automated in some way? In other words, does it work with any other data systems to give calculated results for items like risk scores or expected release dates?                          | Response                  | Other (please specify)   |
|   | Other (please specify)    | N/A  |
| Does your case management system integrate risk/needs assessment results into its logic in any way (such as risk scores or criminogenic needs)?   | Response                  | Other (please specify)   |
|   | Other (please specify)    | N/A  |
| If you answered "yes" to question 22, check all of the features that those results factor into: <Check all that apply>  | Case planning             |  |
|   | Presentence investigation |  |
|   | Violation reporting       |  |
|   | Other (please specify)    |  |
| If you checked "Other" in question 23, please explain.  | Open-Ended Response       |  |

|   |                           |   |  |
|---|---------------------------|---|--|
| Please enter the contact information for the person completing the survey.  | State/Agency:             | Minnesota Department of Corrections   | Mississippi Department of Corrections  |
|   | Name:                     | Bridget Letnes  | Delicia McGee  |
|   | Title:                    | Program Manager   | Director of Policy, Planning, Research and Evaluation  |
|   | Phone:                    | 651-361-7241  | 601-359-6174   |
|   | Email:                    | bridget.letnes@state.mn.us  | ddmcgee@mdoc.state.ms.us   |
| Does your state use a risk/needs assessment at any step of your offenders' entrance into, stay within, or exit from incarceration or community supervision?   | Response                  | Yes   | Yes  |
|   | Other (please specify)    |   |  |
| Do you use an assessment that was created by some other organization, and that has NOT been tailored to your state's needs in any way? These are often referred to as "off-the-shelf" assessments, such as the COMPAS, LSI or ORAS. | Response                  | Yes   | No   |
|   | Other (please specify)    |   |  |
| If you answered "yes" to question 3, which "off-the-shelf" assessment do you use?   | Open-Ended Response       | LS/CMJ  | N/A  |
| Do you use an assessment that has been tailored to your state in some way?  | Response                  | Yes   | Yes  |
|   | Other (please specify)    |   |  |
| If the state-tailored assessment was substantially based on an existing assessment, such as COMPAS, LSI, or ORAS, from which assessment was it based?   | Open-Ended Response       | NA  | N/A  |
| If the state-tailored assessment was created with substantial assistance from an independent researcher or research team, with whom did you work? A general response is acceptable (such as "local university").                    | Open-Ended Response       | DOC Research Department   | Crime and Justice Institute  |
| If the state-tailored assessment was created nearly completely in-house, which internal groups or units were responsible for its creation?  | Open-Ended Response       | Planning and Performance  | N/A  |
| If your assessment's development was not captured by any of the prior questions, please give a brief sense of how it was developed.   | Open-Ended Response       |   | N/A  |
|   |                           |   |  |
| Do you use different assessments for different purposes?  | Response                  | Yes   | Yes  |
|   | Other (please specify)    |   |  |
| What assessment do you use for adult prisoner reentry?  | Open-Ended Response       | MNSTARR and LS/CMJ  | CJI's Instrument for Institutions  |
| What assessment do you use for youth prisoner reentry?  | Open-Ended Response       | YLS   | CJI's Instrument for Institutions  |
| What assessment do you use for adult community corrections?   | Open-Ended Response       | LS/CMJ  | CJI's Instrument for Community Institutions  |
| What assessments do you use for youth community corrections?  | Open-Ended Response       | YLS   | N/A  |
| What other assessments do you use, if any? Please give the name/type of the assessment, and for which purpose it is used.   | Open-Ended Response       |   | None   |
| Do you use an electronic case management system?  | Response                  | No - If you answered "No" to this question, you have completed this survey. Thank you for your time | Yes  |
|   | Other (please specify)    |   |  |
| Was your case management software package created by some other organization, such as is provided along with the COMPAS risk/needs assessment?  | Response                  |   | Other (please specify)   |
|   | Other (please specify)    |   | Case Management is incorporated in the software solution for community corrections; vendor is Automon. |
| If you answered "yes" to question 17, which case management software package do you use?  | Open-Ended Response       |   | Caseload explorer by automon.  |
| Check every feature that is built into your case management system:   | Case planning             |   | Case planning  |
|   | Contact notes             |   | Contact notes  |
|   | Drug testing              |   | Drug testing   |
|   | Education modules         |   |  |
|   | Presentence investigation |   | Presentence investigation  |
|   | Rules of supervision      |   | Rules of supervision   |
|   | Substance use modules     |   | Substance use modules  |
|   | Violation reporting       |   | Violation reporting  |
|   | Workload management       |   | Workload management  |
|   | Other (please specify)    |   |  |
| If you checked "Other" in question 19, please explain.  | Open-Ended Response       |   | N/A  |
| Is your electronic case management system automated in some way? In other words, does it work with any other data systems to give calculated results for items like risk scores or expected release dates?                          | Response                  |   | Yes  |
|   | Other (please specify)    |   |  |
| Does your case management system integrate risk/needs assessment results into its logic in any way (such as risk scores or criminogenic needs)?   | Response                  |   | Yes  |
|   | Other (please specify)    |   |  |
| If you answered "yes" to question 22, check all of the features that those results factor into: <Check all that apply>  | Case planning             |   | Case planning  |
|   | Presentence investigation |   |  |
|   | Violation reporting       |   | Violation reporting  |
|   | Other (please specify)    |   | MDOC Specific Forms  |
| If you checked "Other" in question 23, please explain.  | Open-Ended Response       |   | Various forms used by Community Corrections are managed through the caseload explorer software.        |

|   |                           |  |  |
|---|---------------------------|--|--|
| Please enter the contact information for the person completing the survey.  | State/Agency:             | Nebraska Department of Correctional Services   | Oregon Department of Corrections   |
|   | Name:                     | Laura Strimple   | Jon Hansen   |
|   | Title:                    | Chief of Staff   | Operations and Policy Analyst  |
|   | Phone:                    | 402-479-5713   | 503-930-5071   |
|   | Email:                    | Laura.strimple@nebraska.gov  | jon.m.hansen@doc.state.or.us   |
| Does your state use a risk/needs assessment at any step of your offenders' entrance into, stay within, or exit from incarceration or community supervision?   | Response                  | Yes  | Yes  |
| Do you use an assessment that was created by some other organization, and that has NOT been tailored to your state's needs in any way? These are often referred to as "off-the-shelf" assessments, such as the COMPAS, LSI or ORAS. | Other (please specify)    |  |  |
|   | Response                  | No   | Yes  |
| If you answered "yes" to question 3, which "off-the-shelf" assessment do you use?   | Other (please specify)    |  |  |
|   | Open-Ended Response       |  | LSCMI for men WRNA for women   |
| Do you use an assessment that has been tailored to your state in some way?  | Response                  | Yes  | No - skip to question 10   |
|   | Other (please specify)    |  |  |
|   | Open-Ended Response       | Washington State - STRONG. Static Risk Offender Needs Guide.   | n/a  |
| If the state-tailored assessment was substantially based on an existing assessment, such as COMPAS, LSI, or ORAS, from which assessment was it based?   | Open-Ended Response       | assessments.com; Vant4ge   | n/a  |
| If the state-tailored assessment was created with substantial assistance from an independent researcher or research team, with whom did you work? A general response is acceptable (such as "local university").                    | Open-Ended Response       | N/A  | n/a  |
| If the state-tailored assessment was created nearly completely in-house, which internal groups or units were responsible for its creation?  | Open-Ended Response       | N/A  | n/a  |
| If your assessment's development was not captured by any of the prior questions, please give a brief sense of how it was developed.   | Open-Ended Response       | N/A  | n/a  |
|   |                           |  |  |
| Do you use different assessments for different purposes?  | Response                  | Yes  | No   |
|   | Other (please specify)    |  |  |
| What assessment do you use for adult prisoner reentry?  | Open-Ended Response       | STRONG-R Static Risk offender Needs Guide - Revised  | LSCMI for men WRNA for women   |
| What assessment do you use for youth prisoner reentry?  | Open-Ended Response       | N/A  | n/a  |
|   |                           |  |  |
|   |                           |  |  |
| What assessment do you use for adult community corrections?   | Open-Ended Response       | N/A  | LSCMI for men WRNA - Community for women   |
|   |                           |  |  |
|   |                           |  |  |
| What assessments do you use for youth community corrections?  | Open-Ended Response       | N/A  | n/a  |
|   |                           |  |  |
|   |                           |  |  |
| What other assessments do you use, if any? Please give the name/type of the assessment, and for which purpose it is used.   | Open-Ended Response       | Static - for sex offenders; Stable for sex offenders; Violence risk guide - revised; Risk for sexual violence protocol; Violence risk scale - sex offenders; Hare psychopathy; Wechsler abbreviated scale of intelligence; personality assessment; MMPI; Dementia rating scale; Mini mental status exam; Wechsler memory scale; test of memory malingering; structured interview of reported systems; structured inventory of malingered symptoms; Millon clinical multiaxial inventory dot counting test; repeatable battery for the assessment of neuropsychological status; montreal cognitive assessment; HCR-20 V3 (Violence) | n/a  |
| Do you use an electronic case management system?  | Response                  | Yes  | Yes  |
|   | Other (please specify)    |  |  |
| Was your case management software package created by some other organization, such as is provided along with the COMPAS risk/needs assessment?  | Response                  | No   | Other (please specify)   |
| If you answered "yes" to question 17, which case management software package do you use?  | Other (please specify)    |  | Oregon DOC IT services built it for prison and community corrections case management |
|   | Open-Ended Response       |  | n/a  |
| Check every feature that is built into your case management system:   | Case planning             | Case planning  | Case planning  |
|   | Contact notes             | Contact notes  | Contact notes  |
|   | Drug testing              |  |  |
|   | Education modules         | Education modules  | Education modules  |
|   | Presentence investigation |  |  |
|   | Rules of supervision      |  |  |
|   | Substance use modules     | Substance use modules  |  |
|   | Violation reporting       | Violation reporting  |  |
|   | Workload management       |  |  |
|   | Other (please specify)    | Non-clinical programs  |  |
|   |                           |  |  |
|   |                           |  |  |
| If you checked "Other" in question 19, please explain.  | Open-Ended Response       | Non-clinical programs - T4C, MRT   | n/a  |
|   |                           |  |  |
|   |                           |  |  |
| Is your electronic case management system automated in some way? In other words, does it work with any other data systems to give calculated results for items like risk scores or expected release dates?                          | Response                  | Yes  | No   |
|   | Other (please specify)    |  |  |
|   |                           |  |  |
| Does your case management system integrate risk/needs assessment results into its logic in any way (such as risk scores or criminogenic needs)?   | Response                  | Yes  | No   |
|   | Other (please specify)    |  |  |
|   |                           |  |  |
| If you answered "yes" to question 22, check all of the features that those results factor into: <Check all that apply>  | Case planning             | Case planning  |  |
|   | Presentence investigation |  |  |
|   | Violation reporting       |  |  |
|   | Other (please specify)    | Referral to programs MRT & T4C   | none of the above  |
| If you checked "Other" in question 23, please explain.  | Open-Ended Response       | MRT and T4C are non-clinical programs called Moral Reconation Therapy and Thinking For a Change, respectively.   | n/a  |

Included in SCDC's February 19, 2020 letter to LOC

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|---|--|--|---|
| Please enter the contact information for the person completing the survey.  | State/Agency:                                  | NYS DOCCS  | South Carolina Department of Corrections  |
|   | Name:  | Megan MacTavish  | Ginny Barr  |
|   | Title:   | Director of Guidance and Counseling  | Division Director   |
|   | Phone:   | (518) 402-1811   | 803-896-1777  |
|   | Email:   | Megan.Mactavish@doccs.ny.gov   | barr.ginny@doc.sc.gov   |
| Does your state use a risk/needs assessment at any step of your offenders' entrance into, stay within, or exit from incarceration or community supervision?   | Response                                       | Yes  | Yes   |
|   | Other (please specify)                         |  |   |
| Do you use an assessment that was created by some other organization, and that has NOT been tailored to your state's needs in any way? These are often referred to as "off-the-shelf" assessments, such as the COMPAS, LSI or ORAS. | Response                                       | Other (please specify)   | No  |
|   | Other (please specify)                         | COMPAS tailored for New York State.  |   |
| If you answered "yes" to question 3, which "off-the-shelf" assessment do you use?   | Open-Ended Response                            |  |   |
| Do you use an assessment that has been tailored to your state in some way?  | Response                                       | Yes  | Yes   |
|   | Other (please specify)                         |  |   |
| If the state-tailored assessment was substantially based on an existing assessment, such as COMPAS, LSI, or ORAS, from which assessment was it based?   | Open-Ended Response                            | COMPAS   | N/A   |
| If the state-tailored assessment was created with substantial assistance from an independent researcher or research team, with whom did you work? A general response is acceptable (such as "local university").                    | Open-Ended Response                            | N/A  | Dr. Stephen Gavazzi  Great Thinker Productions,LLC  |
| If the state-tailored assessment was created nearly completely in-house, which internal groups or units were responsible for its creation?  | Open-Ended Response                            | N/A  | N/A   |
| If your assessment's development was not captured by any of the prior questions, please give a brief sense of how it was developed.   | Open-Ended Response                            | N/A  | N/A   |
|   |  |  |   |
| Do you use different assessments for different purposes?  | Response                                       | No   | No  |
|   | Other (please specify)                         |  |   |
| What assessment do you use for adult prisoner reentry?  | Open-Ended Response                            | COMPAS Reentry Assessment  | N/A   |
| What assessment do you use for youth prisoner reentry?  | Open-Ended Response                            | None   | Global Risk Assessment Device (GRAD)  |
| What assessment do you use for adult community corrections?   | Open-Ended Response                            | COMPAS Reentry Assessment  | N/A   |
| What assessments do you use for youth community corrections?  | Open-Ended Response                            | None   | Global Risk Assessment Device   |
| What other assessments do you use, if any? Please give the name/type of the assessment, and for which purpose it is used.   | Open-Ended Response                            | N/A  | N/A   |
| Do you use an electronic case management system?  | Response                                       | Yes  | No - If you answered "No" to this question, you have completed this survey. Thank you for your time |
|   | Other (please specify)                         |  |   |
| Was your case management software package created by some other organization, such as is provided along with the COMPAS risk/needs assessment?  | Response                                       | Other (please specify)   | Other (please specify)  |
|   | Other (please specify)                         | NYS ITS to accompany COMPAS  | N/A   |
| If you answered "yes" to question 17, which case management software package do you use?  | Open-Ended Response                            | N/A  | N/A   |
| Check every feature that is built into your case management system:   | Case planning                                  | Case planning  |   |
|   | Contact notes                                  |  |   |
|   | Drug testing                                   |  |   |
|   | Education modules                              |  |   |
|   | Presentence investigation                      |  |   |
|   | Rules of supervision                           |  |   |
|   | Substance use modules                          |  |   |
|   | Violation reporting                            |  |   |
|   | Workload management                            | Workload management  |   |
| Other (please specify)  | Track program status, PREA, personal documents | N/A  |   |
| If you checked "Other" in question 19, please explain.  | Open-Ended Response                            | Case Management, "Custom Fields" are attached to the COMPAS case plan for quarterly reviews. |   |
| Is your electronic case management system automated in some way? In other words, does it work with any other data systems to give calculated results for items like risk scores or expected release dates?                          | Response                                       | Other (please specify)   | Other (please specify)  |
|   | Other (please specify)                         | Automated with our mainframe to update Case management.                                      | N/A   |
| Does your case management system integrate risk/needs assessment results into its logic in any way (such as risk scores or criminogenic needs)?   | Response                                       | No   | Other (please specify)  |
|   | Other (please specify)                         |  | N/A   |
| If you answered "yes" to question 22, check all of the features that those results factor into: <Check all that apply>  | Case planning                                  |  |   |
|   | Presentence investigation                      |  |   |
|   | Violation reporting                            |  |   |
|   | Other (please specify)                         |  | N/A   |
| If you checked "Other" in question 23, please explain.  | Open-Ended Response                            | N/A  |   |

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|---|---------------------------|---|---|
| Please enter the contact information for the person completing the survey.  | State/Agency:             | Maryland Department of Public Safety and Correctional Services  | Louisiana Department of Public Safety & Corrections   |
|   | Name:                     | David Greene  | Rhett Covington   |
|   | Title:                    | Director, Case Management   | Assistant Secretary   |
|   | Phone:                    | 410-585-3435  | 225-342-1231  |
|   | Email:                    | david.greene@maryland.gov   | rhett.covington@la.gov  |
| Does your state use a risk/needs assessment at any step of your offenders' entrance into, stay within, or exit from incarceration or community supervision?   | Response                  | Yes   | Yes   |
|   | Other (please specify)    |   |   |
| Do you use an assessment that was created by some other organization, and that has NOT been tailored to your state's needs in any way? These are often referred to as "off-the-shelf" assessments, such as the COMPAS, LSI or ORAS. | Response                  | No  | No  |
|   | Other (please specify)    |   |   |
| If you answered "yes" to question 3, which "off-the-shelf" assessment do you use?   | Open-Ended Response       | N/A   |   |
| Do you use an assessment that has been tailored to your state in some way?  | Response                  | Yes   | Yes   |
|   | Other (please specify)    |   |   |
| If the state-tailored assessment was substantially based on an existing assessment, such as COMPAS, LSI, or ORAS, from which assessment was it based?   | Open-Ended Response       | N/A   | It is not based substantially on an existing assessment   |
| If the state-tailored assessment was created with substantial assistance from an independent researcher or research team, with whom did you work? A general response is acceptable (such as "local university").                    | Open-Ended Response       | James Austin, Ph.D (JFA Institute)  | We worked with the Sociology Department at Louisiana State University   |
| If the state-tailored assessment was created nearly completely in-house, which internal groups or units were responsible for its creation?  | Open-Ended Response       | N/A   | It was created by LSU researchers, not in-house   |
| If your assessment's development was not captured by any of the prior questions, please give a brief sense of how it was developed.   | Open-Ended Response       | N/A   |   |
|   |                           |   |   |
| Do you use different assessments for different purposes?  | Response                  | Yes   | Yes   |
|   | Other (please specify)    |   |   |
| What assessment do you use for adult prisoner reentry?  | Open-Ended Response       | Maryland Prison Static Risk Assessment    Maryland Prison Dynamic Risk Assessment                       | TIGER (Louisiana's tailored RNR tool)   |
| What assessment do you use for youth prisoner reentry?  | Open-Ended Response       | N/A   | The Office of Juvenile Justice oversees youth prisoners and has their own assessment instrument.    For those serving time as adults, but are still youth, we use the TIGER.    |
| What assessment do you use for adult community corrections?   | Open-Ended Response       | N/A   | TIGER   |
| What assessments do you use for youth community corrections?  | Open-Ended Response       | N/A   | The Office of Juvenile Justice oversees youth prisoners and has their own assessment instruments.    For those on supervision as adults, but are still youth, we use the TIGER. |
| What other assessments do you use, if any? Please give the name/type of the assessment, and for which purpose it is used.   | Open-Ended Response       | N/A   | Test of Adult Basic Education is used to determine educational level for adult education.   |
| Do you use an electronic case management system?  | Response                  | Yes   | No - If you answered "No" to this question, you have completed this survey. Thank you for your time   |
|   | Other (please specify)    |   |   |
| Was your case management software package created by some other organization, such as is provided along with the COMPAS risk/needs assessment?  | Response                  | Yes   |   |
|   | Other (please specify)    |   |   |
| If you answered "yes" to question 17, which case management software package do you use?  | Open-Ended Response       | Offender Case Management System developed by Mi-Case  |   |
| Check every feature that is built into your case management system:   | Case planning             | Case planning   |   |
|   | Contact notes             | Contact notes   |   |
|   | Drug testing              |   |   |
|   | Education modules         |   |   |
|   | Presentence investigation |   |   |
|   | Rules of supervision      |   |   |
|   | Substance use modules     |   |   |
|   | Violation reporting       | Violation reporting   |   |
|   | Workload management       |   |   |
| Other (please specify)  | See Question 20           |   |   |
| If you checked "Other" in question 19, please explain.  | Open-Ended Response       | Security classification, jon/program assignments, parole results, victim services, sentence computation |   |
| Is your electronic case management system automated in some way? In other words, does it work with any other data systems to give calculated results for items like risk scores or expected release dates?                          | Response                  | Other (please specify)  |   |
|   | Other (please specify)    | Yes, release data computation, but from internal data only.   |   |
| Does your case management system integrate risk/needs assessment results into its logic in any way (such as risk scores or criminogenic needs)?   | Response                  | No  |   |
|   | Other (please specify)    |   |   |
| If you answered "yes" to question 22, check all of the features that those results factor into: <Check all that apply>  | Case planning             |   |   |
|   | Presentence investigation |   |   |
|   | Violation reporting       |   |   |
|   | Other (please specify)    | N/A   |   |
| If you checked "Other" in question 23, please explain.  | Open-Ended Response       | N/A   |   |

|   |                           |   |                                   |
|---|---------------------------|---|-----------------------------------|
| Please enter the contact information for the person completing the survey.  | State/Agency:             | Michigan Dept. of Corrections   | Arkansas Department of Correction |
|   | Name:                     | Michael Keck  | Tiffanye Compton                  |
|   | Title:                    | COMPAS Program Manager  | Research & Planning Administrator |
|   | Phone:                    | 517-334-9426  | 870-267-6335                      |
|   | Email:                    | keckm1@michigan.gov   | tiffanye.compton@arkansas.gov     |
| Does your state use a risk/needs assessment at any step of your offenders' entrance into, stay within, or exit from incarceration or community supervision?   | Response                  | Yes   | Yes                               |
|   | Other (please specify)    |   |                                   |
| Do you use an assessment that was created by some other organization, and that has NOT been tailored to your state's needs in any way? These are often referred to as "off-the-shelf" assessments, such as the COMPAS, LSI or ORAS. | Response                  | Other (please specify)  | No                                |
|   | Other (please specify)    | We use COMPAS, but it has been tailored to our needs.   |                                   |
| If you answered "yes" to question 3, which "off-the-shelf" assessment do you use?   | Open-Ended Response       |   | N/A                               |
| Do you use an assessment that has been tailored to your state in some way?  | Response                  | Yes   | Yes                               |
|   | Other (please specify)    |   |                                   |
| If the state-tailored assessment was substantially based on an existing assessment, such as COMPAS, LSI, or ORAS, from which assessment was it based?   | Open-Ended Response       |   | ORAS                              |
| If the state-tailored assessment was created with substantial assistance from an independent researcher or research team, with whom did you work? A general response is acceptable (such as "local university").                    | Open-Ended Response       |   | JFA Associates, LLC               |
| If the state-tailored assessment was created nearly completely in-house, which internal groups or units were responsible for its creation?  | Open-Ended Response       |   | N/A                               |
| If your assessment's development was not captured by any of the prior questions, please give a brief sense of how it was developed.   | Open-Ended Response       |   | N/A                               |
|   |                           |   |                                   |
| Do you use different assessments for different purposes?  | Response                  | Yes   | No                                |
|   | Other (please specify)    |   |                                   |
| What assessment do you use for adult prisoner reentry?  | Open-Ended Response       | We use the Reentry COMPAS once a prisoner receives positive parole action, unless the parole release date is less than 12 months from the completion of the Core COMPAS. If that is the case then we use Core COMPAS.   | ARORA - Pre-Release               |
| What assessment do you use for youth prisoner reentry?  | Open-Ended Response       | We only serve juvenile offenders sentenced to the adult system. For those cases we would use the Youth COMPAS for offenders under the age of 17. Our state recently passed legislation raising the age to 18, so that should be moving to offenders under the age of 18. For females, we have used the Adult Reentry COMPAS, with gender specific scales, but are currently reviewing the pros/cons of using the Reentry COMPAS vs. the Youth COMPAS. We rarely, if ever, have had an offender under the age of 17 released from prison. They typically are 17 or older when they are released. | N/A                               |
| What assessment do you use for adult community corrections?   | Open-Ended Response       | Community Corrections isn't under the control of the MDOC, but we handle the contracts. Each county engages in their own form of community corrections, some of which is funded by the State. Some of the counties use the Core COMPAS assessment, but there is no requirement. For offenders supervised by the MDOC in the community (probationers), we use the Adult Core COMPAS or Youth COMPAS, depending upon their age.   | ARORA - Pre-Release               |
| What assessments do you use for youth community corrections?  | Open-Ended Response       | We do not serve youthful offenders, unless they are waived to the adult system. For offenders supervised by the MDOC in the community (probationers), we use the Youth COMPAS for offenders under the age of 17. That should be moving to offenders under the age of 18. For females, we have used the Adult Core COMPAS, with gender specific scales, but are currently reviewing the pros/cons of using the Core COMPAS vs. the Youth COMPAS.   | N/A                               |
| What other assessments do you use, if any? Please give the name/type of the assessment, and for which purpose it is used.   | Open-Ended Response       | - Static-99 R and STABLE for sex offenders - IDA for OUIL offenders (pilot project) - URICA to assess motivation in our Vivitrol population - We are currently looking at using the ACE and the PTSD assessments to assess trauma   | N/A                               |
| Do you use an electronic case management system?  | Response                  | Yes   | Yes                               |
|   | Other (please specify)    |   |                                   |
| Was your case management software package created by some other organization, such as is provided along with the COMPAS risk/needs assessment?  | Response                  | Yes   | No                                |
|   | Other (please specify)    |   |                                   |
| If you answered "yes" to question 17, which case management software package do you use?  | Open-Ended Response       | The current case management was created by Sybase and is called OMNI (Offender Management Network Information). We are currently moving to a new system created by ATG (Advanced Technologies Group) called COMS (Correctional Offender Management System).   |                                   |
| Check every feature that is built into your case management system:   | Case planning             | Case planning   | Case planning                     |
|   | Contact notes             | Contact notes   | Contact notes                     |
|   | Drug testing              | Drug testing  | Drug testing                      |
|   | Education modules         | Education modules   | Education modules                 |
|   | Presentence investigation | Presentence investigation   | Presentence investigation         |
|   | Rules of supervision      | Rules of supervision  |                                   |
|   | Substance use modules     | Substance use modules   | Substance use modules             |
|   | Violation reporting       | Violation reporting   | Violation reporting               |
|   | Workload management       | Workload management   |                                   |
|   | Other (please specify)    | Prisoner tracking, food service, misconducts, healthcare, Parole Board functions, visitor tracking, and prisoner accounting   |                                   |
| If you checked "Other" in question 19, please explain.  | Open-Ended Response       | The new system will also handle prisoner tracking, food service, misconducts, healthcare, Parole Board functions, visitor tracking, and prisoner accounting. It is intended that COMPAS will be interfaced into COMS to reduce the amount of duplicative work.  | N/A                               |
| Is your electronic case management system automated in some way? In other words, does it work with any other data systems to give calculated results for items like risk scores or expected release dates?                          | Response                  | Yes   | Yes                               |
|   | Other (please specify)    |   |                                   |
| Does your case management system integrate risk/needs assessment results into its logic in any way (such as risk scores or criminogenic needs)?   | Response                  | Other (please specify)  | Yes                               |
| If you answered "yes" to question 22, check all of the features that those results factor into: <Check all that apply>  | Other (please specify)    | The current system doesn't integrate the offender risk/needs, but the new system should interface with COMPAS.  |                                   |
|   | Case planning             |   | Case planning                     |
|   | Presentence investigation | Presentence investigation   |                                   |
|   | Violation reporting       | Violation reporting   |                                   |
|   | Other (please specify)    | Unknown at this time  |                                   |
| If you checked "Other" in question 23, please explain.  | Open-Ended Response       | We are still in the planning phase as to how this will work.  | N/A                               |

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|---|---------------------------|--|--|
| Please enter the contact information for the person completing the survey.  | State/Agency:             | Florida Department of Corrections  | Colorado CDOC                                  |
|   | Name:                     | Brad Locke   | Bob Mayes                                      |
|   | Title:                    | Bureau Chief   | ADMIN SERVICES OFFICER                         |
|   | Phone:                    | 850-717-3975   | 719-226-4910                                   |
|   | Email:                    | Bradford.Locke@fdc.myflorida.com   | bobby.mayes@state.co.us                        |
| Does your state use a risk/needs assessment at any step of your offenders' entrance into, stay within, or exit from incarceration or community supervision?   | Response                  | Yes  | Yes  |
|   | Other (please specify)    |  |  |
| Do you use an assessment that was created by some other organization, and that has NOT been tailored to your state's needs in any way? These are often referred to as "off-the-shelf" assessments, such as the COMPAS, LSI or ORAS. | Response                  | No   | Yes  |
|   | Other (please specify)    |  |  |
| If you answered "yes" to question 3, which "off-the-shelf" assessment do you use?   | Open-Ended Response       |  | oras - called CTAP Ohio Risk Assessment System |
| Do you use an assessment that has been tailored to your state in some way?  | Response                  | Other (please specify)   | Yes  |
|   | Other (please specify)    | To be specific, our risk/needs assessment was built completely in house. Please see question 9   |  |
| If the state-tailored assessment was substantially based on an existing assessment, such as COMPAS, LSI, or ORAS, from which assessment was it based?   | Open-Ended Response       |  | ORAS   |
| If the state-tailored assessment was created with substantial assistance from an independent researcher or research team, with whom did you work? A general response is acceptable (such as "local university").                    | Open-Ended Response       |  | na   |
| If the state-tailored assessment was created nearly completely in-house, which internal groups or units were responsible for its creation?  | Open-Ended Response       |  | na   |
| If your assessment's development was not captured by any of the prior questions, please give a brief sense of how it was developed.   | Open-Ended Response       | Spectrum was designed completely in-house by the Bureau of Applied Science, Research & Policy and developed completely in-house by our IT based on the Applied Science design, business rules, and specs.  | na   |
|   |                           |  |  |
| Do you use different assessments for different purposes?  | Response                  | No   | No   |
|   | Other (please specify)    |  |  |
| What assessment do you use for adult prisoner reentry?  | Open-Ended Response       | Spectrum once fully implemented  | ORAS Pit                                       |
| What assessment do you use for youth prisoner reentry?  | Open-Ended Response       | Spectrum once full implemented.  | na   |
| What assessment do you use for adult community corrections?   | Open-Ended Response       | Spectrum once fully implemented  | ORAS CST ( Not currently used but will be)     |
| What assessments do you use for youth community corrections?  | Open-Ended Response       | Spectrum once fully implemented  | na   |
| What other assessments do you use, if any? Please give the name/type of the assessment, and for which purpose it is used.   | Open-Ended Response       | CINAS, predecessor of Spectrum. CINAS is currently used until Spectrum has been fully implemented.   | na   |
| Do you use an electronic case management system?  | Response                  | Yes  | Yes  |
|   | Other (please specify)    |  |  |
| Was your case management software package created by some other organization, such as is provided along with the COMPAS risk/needs assessment?  | Response                  | No   | Yes  |
|   | Other (please specify)    |  |  |
| If you answered "yes" to question 17, which case management software package do you use?  | Open-Ended Response       |  | Marquis eOmis                                  |
| Check every feature that is built into your case management system:   | Case planning             |  | Case planning                                  |
|   | Contact notes             |  | Contact notes                                  |
|   | Drug testing              |  | Drug testing                                   |
|   | Education modules         |  | Education modules                              |
|   | Presentence investigation |  | Presentence investigation                      |
|   | Rules of supervision      |  | Rules of supervision                           |
|   | Substance use modules     |  | Substance use modules                          |
|   | Violation reporting       |  | Violation reporting                            |
|   | Workload management       |  | Workload management                            |
|   | Other (please specify)    |  |  |
| If you checked "Other" in question 19, please explain.  | Open-Ended Response       |  |  |
| Is your electronic case management system automated in some way? In other words, does it work with any other data systems to give calculated results for items like risk scores or expected release dates?                          | Response                  | Yes  | Yes  |
|   | Other (please specify)    |  |  |
| Does your case management system integrate risk/needs assessment results into its logic in any way (such as risk scores or criminogenic needs)?   | Response                  | Yes  | Yes  |
|   | Other (please specify)    |  |  |
| If you answered "yes" to question 22, check all of the features that those results factor into: <Check all that apply>  | Case planning             | Case planning  | Case planning                                  |
|   | Presentence investigation | Presentence investigation  |  |
|   | Violation reporting       | Violation reporting  |  |
|   | Other (please specify)    | See number 24  |  |
| If you checked "Other" in question 23, please explain.  | Open-Ended Response       | Spectrum is our upgraded system and has not been completely released statewide in either institutions or community corrections. Once released statewide, Spectrum results can be used in presentence investigations and violations reports/hearings as determined by Community Corrections staff. The majority of the Spectrum case plan is based on |  |

|   |                           |  |   |
|---|---------------------------|--|---|
| Please enter the contact information for the person completing the survey.  | State/Agency:             | Massachusetts Department of Correction   | Utah State Department of Corrections  |
|   | Name:                     | Jaileen A. Hopkins   | Dennis Franklin   |
|   | Title:                    | Director of Program Services   | SRR Grant Manager   |
|   | Phone:                    | 508-422-3309   | 1-385-441-0978  |
|   | Email:                    | Jaileen.Hopkins@doc.state.ma.us  | dfranklin@utah.gov  |
| Does your state use a risk/needs assessment at any step of your offenders' entrance into, stay within, or exit from incarceration or community supervision?   | Response                  | Yes  | Yes   |
| Do you use an assessment that was created by some other organization, and that has NOT been tailored to your state's needs in any way? These are often referred to as "off-the-shelf" assessments, such as the COMPAS, LSI or ORAS. | Other (please specify)    |  |   |
|   | Response                  | Yes  | Yes   |
| If you answered "yes" to question 3, which "off-the-shelf" assessment do you use?   | Other (please specify)    |  |   |
|   | Open-Ended Response       | COMPAS   | LS/RNR  |
| Do you use an assessment that has been tailored to your state in some way?  | Response                  | No - skip to question 10   | No - skip to question 10  |
|   | Other (please specify)    |  |   |
| If the state-tailored assessment was substantially based on an existing assessment, such as COMPAS, LSI, or ORAS, from which assessment was it based?   | Open-Ended Response       |  |   |
| If the state-tailored assessment was created with substantial assistance from an independent researcher or research team, with whom did you work? A general response is acceptable (such as "local university").                    | Open-Ended Response       |  |   |
| If the state-tailored assessment was created nearly completely in-house, which internal groups or units were responsible for its creation?  | Open-Ended Response       |  |   |
| If your assessment's development was not captured by any of the prior questions, please give a brief sense of how it was developed.   | Open-Ended Response       |  |   |
| Do you use different assessments for different purposes?  | Response                  | Yes  | Other (please specify)  |
|   | Other (please specify)    |  | We use the LR/RNR for all offenders and the Sotips and Vazor for Sex Offenders. |
| What assessment do you use for adult prisoner reentry?  | Open-Ended Response       | COMPAS Risk and Need Assessment  | LS/RNR  |
| What assessment do you use for youth prisoner reentry?  | Open-Ended Response       | N/A  | N/A   |
| What assessment do you use for adult community corrections?   | Open-Ended Response       | N/A  | LS/RNR  |
| What assessments do you use for youth community corrections?  | Open-Ended Response       | N/A  | N/A   |
| What other assessments do you use, if any? Please give the name/type of the assessment, and for which purpose it is used.   | Open-Ended Response       | Texas Christian University Drug Screen V (TCU-D) TCU-D screening identifies the specific substance use needs of our population.  | Sotips and Vazor for Community Supervision of Sex Offenders.                    |
| Do you use an electronic case management system?  | Response                  | Yes  | Yes   |
|   | Other (please specify)    |  |   |
| Was your case management software package created by some other organization, such as is provided along with the COMPAS risk/needs assessment?  | Response                  | No   | No  |
|   | Other (please specify)    |  |   |
| If you answered "yes" to question 17, which case management software package do you use?  | Open-Ended Response       |  |   |
| Check every feature that is built into your case management system:   | Case planning             | Case planning  | Case planning   |
|   | Contact notes             | Contact notes  | Contact notes   |
|   | Drug testing              | Drug testing   | Drug testing  |
|   | Education modules         | Education modules  | Education modules   |
|   | Presentence investigation |  | Presentence investigation   |
|   | Rules of supervision      | Rules of supervision   |   |
|   | Substance use modules     | Substance use modules  | Substance use modules   |
|   | Violation reporting       | Violation reporting  | Violation reporting   |
|   | Workload management       |  |   |
|   | Other (please specify)    | Additional features are built into our case management system, see response to question 20 for specifics.  |   |
| If you checked "Other" in question 19, please explain.  | Open-Ended Response       | MA DOC case management includes the following modules: Administration, Admission, Classification, Bed Management, Date Computation, Medical/Mental Health, Program/Work, Reentry, Schedules, Security and Official Version |   |
| Is your electronic case management system automated in some way? In other words, does it work with any other data systems to give calculated results for items like risk scores or expected release dates?                          | Response                  | Other (please specify)   | Yes   |
|   | Other (please specify)    | COMPAS Risk and Need scores interface nightly between COMPAS and out data system,however other automation does occur within our own system i.e: release dates  |   |
| Does your case management system integrate risk/needs assessment results into its logic in any way (such as risk scores or criminogenic needs)?   | Response                  | Yes  | Yes   |
| If you answered "yes" to question 22, check all of the features that those results factor into: <Check all that apply>  | Other (please specify)    |  |   |
|   | Case planning             | Case planning  | Case planning   |
|   | Presentence investigation |  |   |
|   | Violation reporting       |  |   |
| If you checked "Other" in question 23, please explain.  | Other (please specify)    |  |   |
|   | Open-Ended Response       |  |   |

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|---|---|---|--|
| Please enter the contact information for the person completing the survey.  | State/Agency:   | Rhode Island Department of Corrections  | NC Department of Public Safety   |
|   | Name:   | Lisa Chamarro   | Karen Buck   |
|   | Title:  | Assistant Administrator   | Evidence Based Practice Administrator  |
|   | Phone:  | 401-462-6598  | 252-714-6438   |
|   | Email:  | lisa.blanchette@doc.ri.gov  | karen.buck@ncdps.gov   |
| Does your state use a risk/needs assessment at any step of your offenders' entrance into, stay within, or exit from incarceration or community supervision?   | Response<br>Other (please specify)  | Yes   | Yes  |
| Do you use an assessment that was created by some other organization, and that has NOT been tailored to your state's needs in any way? These are often referred to as "off-the-shelf" assessments, such as the COMPAS, LSI or ORAS. | Response<br>Other (please specify)  | Yes   | No   |
| If you answered "yes" to question 3, which "off-the-shelf" assessment do you use?   | Open-Ended Response   | LSIR, LSIR-SV   |  |
| Do you use an assessment that has been tailored to your state in some way?  | Response<br>Other (please specify)  | No - skip to question 10  | Yes  |
| If the state-tailored assessment was substantially based on an existing assessment, such as COMPAS, LSI, or ORAS, from which assessment was it based?   | Open-Ended Response   |   | A combination of public domain instruments.  |
| If the state-tailored assessment was created with substantial assistance from an independent researcher or research team, with whom did you work? A general response is acceptable (such as "local university").                    | Open-Ended Response   |   | No   |
| If the state-tailored assessment was created nearly completely in-house, which internal groups or units were responsible for its creation?  | Open-Ended Response   |   | Research and Planning, Community Corrections, Prisons and MIS planning committees.   |
| If your assessment's development was not captured by any of the prior questions, please give a brief sense of how it was developed.   | Open-Ended Response   |   | The DCC Risk and Needs Assessment Committee met between December 2005 and June 2006. The following outlines the relevant activities and processes used to develop the needs assessment tool: a) Conduct a review of the literature on criminogenic needs, b) Review of available assessments (e.g., LSI, TCU-CTS), c) Examine institutional experience with officer-scored assessments utilized by DCC in the 1970's and 1980's, d) Decide on an assessment protocol that relies primarily on offender self-report information, e) Select questions from public domain instruments and develop original questions to collect information on offender needs and barriers in life areas, f) Test questions for reading grade level, g) Identify a structure/format for automated case planning, h) Compare the life areas to categories of questions on the LSI-R and Wisconsin Risk assessments to get a sense of over-lap or omitted topics, i) Establish face validity of questions and place them on appropriate scales to measure needs and barriers in the identified life areas,1 j) Field test the assessment, and k) Assess internal reliability and where possible, concurrent or predictive validity. |
| Do you use different assessments for different purposes?  | Response<br>Other (please specify)  | Yes   | Yes  |
| What assessment do you use for adult prisoner reentry?  | Open-Ended Response   | LIR/LSIR-SV   | Current Risk Need Assessment   |
| What assessment do you use for youth prisoner reentry?  | Open-Ended Response   | unknown-Adults only in our system   | Not sure what Juvenile utilizes  |
| What assessment do you use for adult community corrections?   | Open-Ended Response   | LSIR/LSIR-SV  | Risk Needs Assessment created in house   |
| What assessments do you use for youth community corrections?  | Open-Ended Response   | Unknown - Adults only in our system.  | NA   |
| What other assessments do you use, if any? Please give the name/type of the assessment, and for which purpose it is used.   | Open-Ended Response   |   | Static for Sex Offenders   |
| Do you use an electronic case management system?  | Response<br>Other (please specify)  | No - If you answered "No" to this question, you have completed this survey. Thank you for your time | Yes  |
| Was your case management software package created by some other organization, such as is provided along with the COMPAS risk/needs assessment?  | Response<br>Other (please specify)  |   | No   |
| If you answered "yes" to question 17, which case management software package do you use?  | Open-Ended Response   |   | Created in house with MIS department connected to the information provided in the RNA.   |
| Check every feature that is built into your case management system:   | Case planning<br>Contact notes<br>Drug testing<br>Education modules<br>Presentence investigation<br>Rules of supervision<br>Substance use modules<br>Violation reporting<br>Workload management<br>Other (please specify) |   | Case planning<br>Contact notes<br>Drug testing<br>Rules of supervision<br>Violation reporting<br>Workload management<br>Structured worksheets, referral to TASC and CBI providers contracted by the state, incentives and consequences, behavior management system for our Confinement in Response to violations (CRVs), services, programs and strategies utilized to address criminogenic needs and barriers to success and resource involvement in the community. The Case Plan is the tool to do list for the offender appointment to appointment and track behavior response for all positive and negative behaviors. We have also added the MH Prison aftercare plan and Functions Ability Rating System for MH specialized cases.   |
| If you checked "Other" in question 19, please explain.  | Open-Ended Response   |   | MH Aftercare Plan, Referrals to TASC and CBI, Behavior Management system for field and residential   |
| Is your electronic case management system automated in some way? In other words, does it work with any other data systems to give calculated results for items like risk scores or expected release dates?                          | Response<br>Other (please specify)  |   | Yes  |
| Does your case management system integrate risk/needs assessment results into its logic in any way (such as risk scores or criminogenic needs)?   | Response<br>Other (please specify)  |   | Yes  |
| If you answered "yes" to question 22, check all of the features that those results factor into: <Check all that apply>  | Case planning<br>Presentence investigation<br>Violation reporting<br>Other (please specify)   |   | Case planning<br>Violation reporting<br>Program, services and behavior responses   |
| If you checked "Other" in question 23, please explain.  | Open-Ended Response   |   | Also include MH flags from RNA, information for items the probationers would like to improve from the RNA and reminds officers of Criminogenic needs, includes many structured worksheets and strategies to move the probationer forward.  |

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|---|---|---|---|
| Please enter the contact information for the person completing the survey.  | State/Agency:   | State of Arizona, Department of Corrections   | Virginia Department of Corrections  |
|   | Name:   | Chuck Manning   | Wendy Goodman   |
|   | Title:  | Deputy Division Director  | Administrator of Case Management and Program Infrastructure   |
|   | Phone:  | 602.542.3830  | 434-906-2787  |
|   | Email:  | cmanning@azadc.gov  | wendy.goodman@vadoc.virginia.gov  |
| Does your state use a risk/needs assessment at any step of your offenders' entrance into, stay within, or exit from incarceration or community supervision?   | Response<br>Other (please specify)  | Yes   | Yes   |
| Do you use an assessment that was created by some other organization, and that has NOT been tailored to your state's needs in any way? These are often referred to as "off-the-shelf" assessments, such as the COMPAS, LSI or ORAS. | Response<br>Other (please specify)  | No  | Yes   |
| If you answered "yes" to question 3, which "off-the-shelf" assessment do you use?   | Open-Ended Response   | N/A   | COMPAS and WRNA-T (women). Also use STATIC, Acute and STABLE for sex offenders.   |
| Do you use an assessment that has been tailored to your state in some way?  | Response<br>Other (please specify)  | Yes   | Yes   |
| If the state-tailored assessment was substantially based on an existing assessment, such as COMPAS, LSI, or ORAS, from which assessment was it based?   | Open-Ended Response   | N/A   | We still use COMPAS, but had to tailor a few questions on a certain scale to Virginia's need to eliminate language related to religion.   |
| If the state-tailored assessment was created with substantial assistance from an independent researcher or research team, with whom did you work? A general response is acceptable (such as "local university").                    | Open-Ended Response   | Community risk/needs assessment: University of Cincinnati   | N/A   |
| If the state-tailored assessment was created nearly completely in-house, which internal groups or units were responsible for its creation?  | Open-Ended Response   | Risk Needs in prison assessment was completed with input from Research Bureau, program areas, and operations staff.   | N/A   |
| If your assessment's development was not captured by any of the prior questions, please give a brief sense of how it was developed.   | Open-Ended Response   | Risk Needs in prison based on research by Dr. Daryl Fischer (ADC research bureau at the time) to create a community risk to recidivate score based on 50,000 inmates released from ADC over a 10-year period. The needs categories were assessed individually and included prioritizing placement.  | N/A   |
| Do you use different assessments for different purposes?  | Response<br>Other (please specify)  | Other (please specify)<br><br>In prison risk and needs uses program specific assessments (e.g., TCUD, CTS, etc.) to determine the relative need for a given program. The community risk level of the inmate is then matrixed with the need level to produce an intervention level, which is the basis for prioritizing placement through the overall risk needs instrument. | Yes   |
| What assessment do you use for adult prisoner reentry?  | Open-Ended Response   | Arizona Community Corrections Assessment tool.  | Reentry COMPAS WRNA-T   |
| What assessment do you use for youth prisoner reentry?  | Open-Ended Response   | N/A   | VADOC does not work with juvenile reentry   |
| What assessment do you use for adult community corrections?   | Open-Ended Response   | Arizona Community Corrections Assessment tool.  | EBP COMPAS (selected scales from COMPAS Core)   |
| What assessments do you use for youth community corrections?  | Open-Ended Response   | N/A   | VADOC does not work with youth community corrections  |
| What other assessments do you use, if any? Please give the name/type of the assessment, and for which purpose it is used.   | Open-Ended Response   | Arizona Sex Offender Assessment Screening; Profile for Regulatory Community Notification.   | Sex offender assessments named in previous question. We also use the Brief Mental Health Screener in community corrections  |
| Do you use an electronic case management system?  | Response<br>Other (please specify)  | Yes   | Yes   |
| Was your case management software package created by some other organization, such as is provided along with the COMPAS risk/needs assessment?  | Response<br>Other (please specify)  | No  | No  |
| If you answered "yes" to question 17, which case management software package do you use?  | Open-Ended Response   | N/A   |   |
| Check every feature that is built into your case management system:   | Case planning<br>Contact notes<br>Drug testing<br>Education modules<br>Presentence investigation<br>Rules of supervision<br>Substance use modules<br>Violation reporting<br>Workload management<br>Other (please specify) | Case planning<br>Contact notes<br>Drug testing<br>Education modules<br>Presentence investigation<br>Rules of supervision<br>Substance use modules<br>Violation reporting<br>Workload management<br>staff action queues to notify staff of needed case mgt actions for inmates & offenders.  | Case planning<br>Contact notes<br>Drug testing<br>Education modules<br>Presentence investigation<br>Rules of supervision<br>Substance use modules<br>Violation reporting<br>Workload management |
| If you checked "Other" in question 19, please explain.  | Open-Ended Response   | Explained in #19  | We use VACORIS, which is our statewide data management system. It was built by Abilis.  |
| Is your electronic case management system automated in some way? In other words, does it work with any other data systems to give calculated results for items like risk scores or expected release dates?                          | Response<br>Other (please specify)  | Other (please specify)<br>Yes; it calculates needs and intervention levels; provides suggested case plan activities, tracks results, etc.   | Yes   |
| Does your case management system integrate risk/needs assessment results into its logic in any way (such as risk scores or criminogenic needs)?   | Response<br>Other (please specify)  | Yes   | Yes   |
| If you answered "yes" to question 22, check all of the features that those results factor into: <Check all that apply>  | Case planning<br>Presentence investigation<br>Violation reporting<br>Other (please specify)   | Case planning<br>scheduling, results, reporting, etc.   | Case planning<br>Violation reporting  |
| If you checked "Other" in question 23, please explain.  | Open-Ended Response   |   |   |

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|   |                           |   |  |
|---|---------------------------|---|--|
| Please enter the contact information for the person completing the survey.  | State/Agency:             | DE Department of Correction   | Kentucky Department of Corrections                                       |
|   | Name:                     | R. E. Seifert, MBA  | Kieryn Fannin  |
|   | Title:                    | Planner & Projects Coordinator  | Director of Population Management  |
|   | Phone:                    | 302-857-5273  | 502-782-2346   |
|   | Email:                    | rich.seifert@delaware.gov   | kieryn.fannin@ky.gov   |
| Does your state use a risk/needs assessment at any step of your offenders' entrance into, stay within, or exit from incarceration or community supervision?   | Response                  | Yes   | Yes  |
| Do you use an assessment that was created by some other organization, and that has NOT been tailored to your state's needs in any way? These are often referred to as "off-the-shelf" assessments, such as the COMPAS, LSI or ORAS. | Other (please specify)    |   |  |
|   | Response                  | Yes   | Yes  |
| If you answered "yes" to question 3, which "off-the-shelf" assessment do you use?   | Other (please specify)    |   |  |
|   | Open-Ended Response       | LSI-R, RNR Adult Program Tool, Static 99 for sex offenders, DVSI for domestic violence; STG for security threat group assessment. | ORAS   |
| Do you use an assessment that has been tailored to your state in some way?  | Response                  | Yes   | No - skip to question 10   |
|   | Other (please specify)    |   |  |
| If the state-tailored assessment was substantially based on an existing assessment, such as COMPAS, LSI, or ORAS, from which assessment was it based?   | Open-Ended Response       | RNR Adult Program Tools has been modified for DEDOC offender management system, but still has the same content.                   |  |
| If the state-tailored assessment was created with substantial assistance from an independent researcher or research team, with whom did you work? A general response is acceptable (such as "local university").                    | Open-Ended Response       | George Mason University   |  |
| If the state-tailored assessment was created nearly completely in-house, which internal groups or units were responsible for its creation?  | Open-Ended Response       | Planning & Research Unit & CNTI (vendor)  |  |
| If your assessment's development was not captured by any of the prior questions, please give a brief sense of how it was developed.   | Open-Ended Response       | n/a   |  |
|   |                           |   |  |
| Do you use different assessments for different purposes?  | Response                  | Yes   | Yes  |
|   | Other (please specify)    |   |  |
| What assessment do you use for adult prisoner reentry?  | Open-Ended Response       | LSI-R & RNR   | ORAS - Prisoner Intake Tool, Reentry Tool and Supplemental Reentry Tool. |
| What assessment do you use for youth prisoner reentry?  | Open-Ended Response       | Same listed above, however youth offenders are administrated via a different DE agency.   | N/A  |
| What assessment do you use for adult community corrections?   | Open-Ended Response       | LSI-R, except for special populations (Static 99, DVSI)   | ORAS - Community Supervision Tool.                                       |
| What assessments do you use for youth community corrections?  | Open-Ended Response       | YRS or LSI-R  | N/A  |
| What other assessments do you use, if any? Please give the name/type of the assessment, and for which purpose it is used.   | Open-Ended Response       | See #4  |  |
| Do you use an electronic case management system?  | Response                  | Yes   | Yes  |
|   | Other (please specify)    |   |  |
| Was your case management software package created by some other organization, such as is provided along with the COMPAS risk/needs assessment?  | Response                  | Other (please specify)  | Yes  |
| If you answered "yes" to question 17, which case management software package do you use?  | Other (please specify)    | DEDOC developed with vendor assistance (CNTI)   |  |
|   | Open-Ended Response       | DACS (locally built)  | Marquis Software   |
| Check every feature that is built into your case management system:   | Case planning             | Case planning   | Case planning  |
|   | Contact notes             | Contact notes   | Contact notes  |
|   | Drug testing              | Drug testing  | Drug testing   |
|   | Education modules         | Education modules   |  |
|   | Presentence investigation |   | Presentence investigation  |
|   | Rules of supervision      | Rules of supervision  | Rules of supervision   |
|   | Substance use modules     |   | Substance use modules  |
|   | Violation reporting       | Violation reporting   | Violation reporting  |
|   | Workload management       | Workload management   | Workload management  |
|   | Other (please specify)    | Programming, movement, records  |  |
| If you checked "Other" in question 19, please explain.  | Open-Ended Response       | Also has connection to electronic health records (IChRT)  |  |
| Is your electronic case management system automated in some way? In other words, does it work with any other data systems to give calculated results for items like risk scores or expected release dates?                          | Response                  | Yes   | Yes  |
|   | Other (please specify)    |   |  |
| Does your case management system integrate risk/needs assessment results into its logic in any way (such as risk scores or criminogenic needs)?   | Response                  | Yes   | Yes  |
|   | Other (please specify)    |   |  |
| If you answered "yes" to question 22, check all of the features that those results factor into: <Check all that apply>  | Case planning             | Case planning   | Case planning  |
|   | Presentence investigation |   |  |
|   | Violation reporting       | Violation reporting   |  |
|   | Other (please specify)    |   |  |
| If you checked "Other" in question 23, please explain.  | Open-Ended Response       |   |  |

|   |   |   |  |
|---|---|---|--|
| Please enter the contact information for the person completing the survey.  | State/Agency:   | WV Division of Corrections and Rehabilitation   | Missouri Department of Corrections   |
|   | Name:   | Lesley Hill   | Kelly Dills  |
|   | Title:  | Director of Classification  | Quality Assurance and Process Improvement Mgr.                               |
|   | Phone:  | 304-558-2036  | 573-526-6566   |
|   | Email:  | Lesley.J.Hill@wv.gov  | kelly.dills@doc.mo.gov   |
| Does your state use a risk/needs assessment at any step of your offenders' entrance into, stay within, or exit from incarceration or community supervision?   | Response<br>Other (please specify)  | Yes   | Yes  |
| Do you use an assessment that was created by some other organization, and that has NOT been tailored to your state's needs in any way? These are often referred to as "off-the-shelf" assessments, such as the COMPAS, LSI or ORAS. | Response<br>Other (please specify)  | Yes   | Yes  |
| If you answered "yes" to question 3, which "off-the-shelf" assessment do you use?   | Open-Ended Response   | Level of Service Case Management Inventory (LS/CMI)   | ORAS   |
| Do you use an assessment that has been tailored to your state in some way?  | Response<br>Other (please specify)  | No - skip to question 10  | No - skip to question 10   |
| If the state-tailored assessment was substantially based on an existing assessment, such as COMPAS, LSI, or ORAS, from which assessment was it based?   | Open-Ended Response   |   |  |
| If the state-tailored assessment was created with substantial assistance from an independent researcher or research team, with whom did you work? A general response is acceptable (such as "local university").                    | Open-Ended Response   |   |  |
| If the state-tailored assessment was created nearly completely in-house, which internal groups or units were responsible for its creation?  | Open-Ended Response   |   |  |
| If your assessment's development was not captured by any of the prior questions, please give a brief sense of how it was developed.   | Open-Ended Response   |   |  |
| Do you use different assessments for different purposes?  | Response<br>Other (please specify)  | No  | Yes  |
| What assessment do you use for adult prisoner reentry?  | Open-Ended Response   |   | ORAS Reentry Tool or Supplemental Reentry Tool                               |
| What assessment do you use for youth prisoner reentry?  | Open-Ended Response   | Youth Level of Service Case Management Inventory (YLS/CMI)  | N/A  |
| What assessment do you use for adult community corrections?   | Open-Ended Response   | Level of Service Case Management Inventory (LS/CMI)   | ORAS Community Supervision Tool  |
| What assessments do you use for youth community corrections?  | Open-Ended Response   | Youth Level of Service Case Management Inventory (YLS/CMI)  | N/A  |
| What other assessments do you use, if any? Please give the name/type of the assessment, and for which purpose it is used.   | Open-Ended Response   |   | Static 99-Sex Offenders Addiction Severity Index--SUD treatment need<br>PREA |
| Do you use an electronic case management system?  | Response<br>Other (please specify)  | No - If you answered "No" to this question, you have completed this survey. Thank you for your time | Yes  |
| Was your case management software package created by some other organization, such as is provided along with the COMPAS risk/needs assessment?  | Response<br>Other (please specify)  |   | Yes  |
| If you answered "yes" to question 17, which case management software package do you use?  | Open-Ended Response   |   | Ohio Risk Assessment System  |
| Check every feature that is built into your case management system:   | Case planning<br>Contact notes<br>Drug testing<br>Education modules<br>Presentence investigation<br>Rules of supervision<br>Substance use modules<br>Violation reporting<br>Workload management<br>Other (please specify) |   | Case planning  |
| If you checked "Other" in question 19, please explain.  | Open-Ended Response   |   |  |
| Is your electronic case management system automated in some way? In other words, does it work with any other data systems to give calculated results for items like risk scores or expected release dates?                          | Response<br>Other (please specify)  |   | Yes  |
| Does your case management system integrate risk/needs assessment results into its logic in any way (such as risk scores or criminogenic needs)?   | Response<br>Other (please specify)  |   | Yes  |
| If you answered "yes" to question 22, check all of the features that those results factor into: <Check all that apply>  | Case planning<br>Presentence investigation<br>Violation reporting<br>Other (please specify)   |   | Case planning  |
| If you checked "Other" in question 23, please explain.  | Open-Ended Response   |   |  |

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|---|---------------------------|---|---|
| Please enter the contact information for the person completing the survey.  | State/Agency:             | Maine Department of Corrections   | Alabama   |
|   | Name:                     | David Simpson   | Murray Robinson   |
|   | Title:                    | Manager Evidence Based Practices  |   |
|   | Phone:                    | 207-215-2149  | 3343532949  |
|   | Email:                    | david.simpson@maine.gov   | Murray.robinson@doc.alabama.gov   |
| Does your state use a risk/needs assessment at any step of your offenders' entrance into, stay within, or exit from incarceration or community supervision?   | Response                  | Yes   | Yes   |
|   | Other (please specify)    |   |   |
| Do you use an assessment that was created by some other organization, and that has NOT been tailored to your state's needs in any way? These are often referred to as "off-the-shelf" assessments, such as the COMPAS, LSI or ORAS. | Response                  | Yes   | Yes   |
|   | Other (please specify)    |   |   |
| If you answered "yes" to question 3, which "off-the-shelf" assessment do you use?   | Open-Ended Response       | LSI-r (male adults) Spin-W (Adult females) YLSI (youthful offenders)  | ORAS  |
| Do you use an assessment that has been tailored to your state in some way?  | Response                  | No - skip to question 10  | No - skip to question 10  |
|   | Other (please specify)    |   |   |
| If the state-tailored assessment was substantially based on an existing assessment, such as COMPAS, LSI, or ORAS, from which assessment was it based?   | Open-Ended Response       |   |   |
| If the state-tailored assessment was created with substantial assistance from an independent researcher or research team, with whom did you work? A general response is acceptable (such as "local university").                    | Open-Ended Response       |   |   |
| If the state-tailored assessment was created nearly completely in-house, which internal groups or units were responsible for its creation?  | Open-Ended Response       |   |   |
| If your assessment's development was not captured by any of the prior questions, please give a brief sense of how it was developed.   | Open-Ended Response       |   |   |
| Do you use different assessments for different purposes?  | Response                  | Yes   | Yes   |
|   | Other (please specify)    |   |   |
| What assessment do you use for adult prisoner reentry?  | Open-Ended Response       | LSI-r and Spin-W are completed within 30 days of release. Data is utilized for case planning and classification purposes in the community | ORAS RT   |
| What assessment do you use for youth prisoner reentry?  | Open-Ended Response       | We do not use a standardized reentry assessment   | N/A   |
| What assessment do you use for adult community corrections?   | Open-Ended Response       | LSI-r and Spin-W  | N/A   |
| What assessments do you use for youth community corrections?  | Open-Ended Response       | YLSI  | N/A   |
| What other assessments do you use, if any? Please give the name/type of the assessment, and for which purpose it is used.   | Open-Ended Response       | TCUDS, Static 99, SA and MH, ODARA, also utilize education assessments  | PIT and SRT   |
| Do you use an electronic case management system?  | Response                  | Yes   | No - If you answered "No" to this question, you have completed this survey. Thank you for your time |
|   | Other (please specify)    |   |   |
| Was your case management software package created by some other organization, such as is provided along with the COMPAS risk/needs assessment?  | Response                  | Other (please specify)  |   |
|   | Other (please specify)    | CORIS   |   |
| If you answered "yes" to question 17, which case management software package do you use?  | Open-Ended Response       |   |   |
| Check every feature that is built into your case management system:   | Case planning             | Case planning   |   |
|   | Contact notes             | Contact notes   |   |
|   | Drug testing              | Drug testing  |   |
|   | Education modules         | Education modules   |   |
|   | Presentence investigation | Presentence investigation   |   |
|   | Rules of supervision      | Rules of supervision  |   |
|   | Substance use modules     | Substance use modules   |   |
|   | Violation reporting       | Violation reporting   |   |
|   | Workload management       |   |   |
|   | Other (please specify)    | Programming, classification, incidents and disciplines, demographic info visits, UA, housing, movement                                    |   |
| If you checked "Other" in question 19, please explain.  | Open-Ended Response       | Programming, classification, incidents and disciplines, demographic info visits, UA, housing, movement, good time calculations            |   |
| Is your electronic case management system automated in some way? In other words, does it work with any other data systems to give calculated results for items like risk scores or expected release dates?                          | Response                  | Yes   |   |
|   | Other (please specify)    |   |   |
| Does your case management system integrate risk/needs assessment results into its logic in any way (such as risk scores or criminogenic needs)?   | Response                  | Yes   |   |
|   | Other (please specify)    |   |   |
| If you answered "yes" to question 22, check all of the features that those results factor into: <Check all that apply>  | Case planning             | Case planning   |   |
|   | Presentence investigation |   |   |
|   | Violation reporting       |   |   |
|   | Other (please specify)    |   |   |
| If you checked "Other" in question 23, please explain.  | Open-Ended Response       |   |   |

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|---|---|-------------------------------------|---|
| Please enter the contact information for the person completing the survey.  | State/Agency:   | New Mexico Corrections Department   | Wyoming Department of Corrections   |
|   | Name:   | Haven Scogin                        | Lauren Kenney   |
|   | Title:  | Community Corrections Administrator | Prison Division Training Program Manager  |
|   | Phone:  | 505-934-2188                        | 307-777-7303  |
|   | Email:  | haven.scogin@state.nm.us            | lauren.kenney@wyo.gov   |
| Does your state use a risk/needs assessment at any step of your offenders' entrance into, stay within, or exit from incarceration or community supervision?   | Response<br>Other (please specify)  | Yes                                 | Yes   |
| Do you use an assessment that was created by some other organization, and that has NOT been tailored to your state's needs in any way? These are often referred to as "off-the-shelf" assessments, such as the COMPAS, LSI or ORAS. | Response<br>Other (please specify)  |                                     | Yes   |
| If you answered "yes" to question 3, which "off-the-shelf" assessment do you use?   | Open-Ended Response   |                                     | COMPAS  |
| Do you use an assessment that has been tailored to your state in some way?  | Response<br>Other (please specify)  |                                     | Other (please specify)<br>The COMPAS assessments have been normed and validated to the Wyoming population.  |
| If the state-tailored assessment was substantially based on an existing assessment, such as COMPAS, LSI, or ORAS, from which assessment was it based?   | Open-Ended Response   |                                     | COMPAS Core and the Women's Risk Needs Assessment (WRNA) trailer for female inmates   |
| If the state-tailored assessment was created with substantial assistance from an independent researcher or research team, with whom did you work? A general response is acceptable (such as "local university").                    | Open-Ended Response   |                                     | N/A   |
| If the state-tailored assessment was created nearly completely in-house, which internal groups or units were responsible for its creation?  | Open-Ended Response   |                                     | N/A   |
| If your assessment's development was not captured by any of the prior questions, please give a brief sense of how it was developed.   | Open-Ended Response   |                                     | N/A   |
|   |   |                                     |   |
|   |   |                                     |   |
| Do you use different assessments for different purposes?  | Response<br>Other (please specify)  |                                     | Yes   |
|   |   |                                     |   |
| What assessment do you use for adult prisoner reentry?  | Open-Ended Response   |                                     | COMPAS Core at the moment. We are deciding whether or not to go back to using the COMPAS Reentry.   |
| What assessment do you use for youth prisoner reentry?  | Open-Ended Response   |                                     | N/A - Wyoming DOC does not supervise minors. Please contact the Wyoming Department of Family Services for that information.   |
|   |   |                                     |   |
| What assessment do you use for adult community corrections?   | Open-Ended Response   |                                     | COMPAS Core   |
|   |   |                                     |   |
| What assessments do you use for youth community corrections?  | Open-Ended Response   |                                     | N/A - see question 12   |
|   |   |                                     |   |
| What other assessments do you use, if any? Please give the name/type of the assessment, and for which purpose it is used.   | Open-Ended Response   |                                     | We use the Static 99R and Stable 2007 for certain sex offenders; the DVS-I-R for domestic violence offenders; and the Impaired Driver's Assessment (IDA) for certain DUI offenders. Also, as mentioned, we use a specific women's assessment in COMPAS (the WRNA) for female inmates. |
|   |   |                                     |   |
| Do you use an electronic case management system?  | Response<br>Other (please specify)  |                                     | Yes   |
|   |   |                                     |   |
| Was your case management software package created by some other organization, such as is provided along with the COMPAS risk/needs assessment?  | Response<br>Other (please specify)  |                                     | Yes   |
| If you answered "yes" to question 17, which case management software package do you use?  | Open-Ended Response   |                                     | COMPAS  |
| Check every feature that is built into your case management system:   | Case planning<br>Contact notes<br>Drug testing<br>Education modules<br>Presentence investigation<br>Rules of supervision<br>Substance use modules<br>Violation reporting<br>Workload management<br>Other (please specify) |                                     | Case planning   |
|   |   |                                     |   |
| If you checked "Other" in question 19, please explain.  | Open-Ended Response   |                                     | N/A   |
|   |   |                                     |   |
| Is your electronic case management system automated in some way? In other words, does it work with any other data systems to give calculated results for items like risk scores or expected release dates?                          | Response<br>Other (please specify)  |                                     | Yes   |
| Does your case management system integrate risk/needs assessment results into its logic in any way (such as risk scores or criminogenic needs)?   | Response<br>Other (please specify)  |                                     | Yes   |
| If you answered "yes" to question 22, check all of the features that those results factor into: <Check all that apply>  | Case planning<br>Presentence investigation<br>Violation reporting<br>Other (please specify)   |                                     | Case planning<br>Some information from our prison division data base (Wyoming Criminal Information System, WCIS) integrates into the COMPAS software. This is mostly for demographic information (birth date, etc.).  |
| If you checked "Other" in question 23, please explain.  | Open-Ended Response   |                                     | Some information from our prison division data base (Wyoming Criminal Information System, WCIS) integrates into the COMPAS software. This is mostly for demographic information (birth date, etc.).   |

|   |                           |   |   |
|---|---------------------------|---|---|
| Please enter the contact information for the person completing the survey.  | State/Agency:             | Ohio Department of Rehabilitation and Correction                                  | Hawaii Department of Public Safety  |
|   | Name:                     | Katrina Ransom  | Jodie Maesaka-Hirata  |
|   | Title:                    | Superintendent of Field Services  |   |
|   | Phone:                    | 614-387-2619  | 8085871340  |
|   | Email:                    | katrina.ransom@odrc.state.oh.us   | jodie.f.maesaka-hirata@hawaii.gov   |
| Does your state use a risk/needs assessment at any step of your offenders' entrance into, stay within, or exit from incarceration or community supervision?   | Response                  | Yes   | Yes   |
|   | Other (please specify)    |   |   |
| Do you use an assessment that was created by some other organization, and that has NOT been tailored to your state's needs in any way? These are often referred to as "off-the-shelf" assessments, such as the COMPAS, LSI or ORAS. | Response                  | Other (please specify)  | Yes   |
|   | Other (please specify)    | We use the ORAS but it was tailored to our states offenders and needs             |   |
| If you answered "yes" to question 3, which "off-the-shelf" assessment do you use?   | Open-Ended Response       |   | LSIR  |
| Do you use an assessment that has been tailored to your state in some way?  | Response                  | Yes   | No - skip to question 10  |
|   | Other (please specify)    |   |   |
| If the state-tailored assessment was substantially based on an existing assessment, such as COMPAS, LSI, or ORAS, from which assessment was it based?   | Open-Ended Response       | ORAS was created from Ohio offenders  |   |
| If the state-tailored assessment was created with substantial assistance from an independent researcher or research team, with whom did you work? A general response is acceptable (such as "local university").                    | Open-Ended Response       | University of Cincinnati  |   |
| If the state-tailored assessment was created nearly completely in-house, which internal groups or units were responsible for its creation?  | Open-Ended Response       |   |   |
| If your assessment's development was not captured by any of the prior questions, please give a brief sense of how it was developed.   | Open-Ended Response       |   |   |
| Do you use different assessments for different purposes?  | Response                  | Yes   | Yes   |
|   | Other (please specify)    |   |   |
| What assessment do you use for adult prisoner reentry?  | Open-Ended Response       | ORAS - SRT or RT  | ORAS Screening for pretrial   |
| What assessment do you use for youth prisoner reentry?  | Open-Ended Response       | we don't work with youth but the Ohio Department of Youth Services uses the OYAS. | LSIR  |
| What assessment do you use for adult community corrections?   | Open-Ended Response       | ORAS - primarily the CST  | LSIR  |
| What assessments do you use for youth community corrections?  | Open-Ended Response       | N/A   | Hawaii PSD does not house juveniles.  |
| What other assessments do you use, if any? Please give the name/type of the assessment, and for which purpose it is used.   | Open-Ended Response       | STATIC 99 AND STABLE 2007   | ASJ/S   |
| Do you use an electronic case management system?  | Response                  | Yes   | No - If you answered "No" to this question, you have completed this survey. Thank you for your time |
|   | Other (please specify)    |   |   |
| Was your case management software package created by some other organization, such as is provided along with the COMPAS risk/needs assessment?  | Response                  | No  |   |
|   | Other (please specify)    |   |   |
| If you answered "yes" to question 17, which case management software package do you use?  | Open-Ended Response       |   |   |
| Check every feature that is built into your case management system:   | Case planning             |   |   |
|   | Contact notes             | Contact notes   |   |
|   | Drug testing              | Drug testing  |   |
|   | Education modules         |   |   |
|   | Presentence investigation |   |   |
|   | Rules of supervision      |   |   |
|   | Substance use modules     |   |   |
|   | Violation reporting       | Violation reporting   |   |
|   | Workload management       |   |   |
| Other (please specify)  |                           |   |   |
| If you checked "Other" in question 19, please explain.  | Open-Ended Response       |   |   |
| Is your electronic case management system automated in some way? In other words, does it work with any other data systems to give calculated results for items like risk scores or expected release dates?                          | Response                  | No  |   |
|   | Other (please specify)    |   |   |
| Does your case management system integrate risk/needs assessment results into its logic in any way (such as risk scores or criminogenic needs)?   | Response                  | No  |   |
|   | Other (please specify)    |   |   |
| If you answered "yes" to question 22, check all of the features that those results factor into: <Check all that apply>  | Case planning             |   |   |
|   | Presentence investigation |   |   |
|   | Violation reporting       |   |   |
|   | Other (please specify)    |   |   |
| If you checked "Other" in question 23, please explain.  | Open-Ended Response       |   |   |